

# AGENDA

## TUESDAY, MARCH 15, 2016

1:00 - 2:00 pm	<b>Registration</b>	Tucson Grand Foyer
2:00 - 2:15 pm	<b>Welcome</b> <i>(Joint session with Executive Directors Conference and State Society CEOs)</i> <b>Presiding:</b> <b>James Corley, CPA</b> Executive Director, Arkansas State Board of Public Accountancy <b>Ralph Thomas, CGMA</b> CEO and Executive Director, New Jersey Society of CPAs	Tucson Salon E
2:15 - 3:00 pm	<b>NASBA Report</b> <i>(Joint session with Executive Directors Conference and State Society CEOs)</i> <b>Moderator:</b> <b>James Corley, CPA</b> Executive Director, Arkansas State Board of Public Accountancy <b>Speakers:</b> <b>Donald H. Burkett, CPA</b> Chair, NASBA <b>Ken L. Bishop</b> President & Chief Executive Officer, NASBA	Tucson Salon E
3:00 - 4:00 pm	<b>Pending Legal Cases Impacting the Profession</b> <i>(Joint session with Executive Directors Conference and State Society CEOs)</i> <b>Moderator:</b> <b>James Corley, CPA</b> Executive Director, Arkansas State Board of Public Accountancy <b>Speakers:</b> <b>Noel L. Allen, Esq.</b> Allen & Pinnix, P.A. <b>Nathan Standley, Esq.</b> Allen & Pinnix, P.A. <b>Brenner Allen, Esq.</b> Allen & Pinnix, P.A.	Tucson Salon E
4:00 pm	<b>Recess</b>	
6:00 - 8:00 pm	<b>Welcome Reception</b> <b>Special Thanks to AICPA</b>	Tash Lawn

# AGENDA

## WEDNESDAY, MARCH 16, 2016

8:00 – 9:30 am	<p><b>Roll Call (Discussion of Jurisdictional Issues)</b> <i>(Breakfast in room)</i></p> <p><b>Moderator:</b> Stacey L. Grooms, Esq., Manager, Regulatory Affairs, NASBA</p>	Tucson Salon J
9:30 – 10:30 am	<p><b>Attest Definition Revision</b></p> <p><b>Speakers:</b> Noel L. Allen, Esq. Allen &amp; Pinnix, P.A.</p> <p>Jerry Hill, Esq. Texas Board of Public Accountancy</p>	Tucson Salon J
10:30 – 10:45 am	<b>Break</b>	Tucson Foyer
10:45 am – 12:00 pm	<p><b>The Impact of Marijuana Legalization on Boards of Accountancy</b></p> <p><b>Speakers:</b> Mary Ellen Clark, Esq. Florida Board of Accountancy</p> <p>Susan Bischoff, Esq. Oregon Board of Accountancy</p> <p>Alice Hosley, Esq. Colorado Board of Accountancy</p> <p>Nathan Standley, Esq. Allen &amp; Pinnix, P.A.</p>	Tucson Salon J
12:00 – 1:30 pm	<b>Lunch</b>	Ania Terrace
1:30 – 2:15 pm	<p><b>Leveraging Data for More Effective Enforcement</b></p> <p><b>Speakers:</b> Grace Berger Executive Director, Montana Board of Public Accountants</p> <p>Viki Windfelt Executive Director, Montana Board of Accountancy</p> <p>Elizabeth Stanton Manager, Accountancy Licensee Database, NASBA</p>	Tucson Salon J
2:15 – 3:00 pm	<p><b>Guidance for Handling DOL Referrals</b></p> <p><b>Moderator:</b> Maria L. Caldwell, Esq. Chief Legal Officer &amp; Director of Compliance Services, NASBA</p> <p><b>Speakers:</b> Dominic Franzella Enforcement Division Chief, California Board of Accountancy</p> <p>Stacey L. Grooms, Esq. Manager, Regulatory Affairs, NASBA</p>	Tucson Salon J

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3:00 – 3:30 pm	<p><b>Enforcement: Focusing on the Nuts &amp; Bolts</b>  <i>(Discussion of Results of Enforcement Review &amp; Guiding Principles)</i></p> <p><b>Speaker:</b> <b>Stacey L. Grooms, Esq.</b>            Manager, Regulatory Affairs, NASBA</p>	Tucson Salon J
3:30 – 3:45 pm	<b>Break</b>	Tucson Foyer
3:45 – 4:45 pm	<p><b>Ethics for Attorneys</b></p> <p><b>Speakers:</b> <b>Sarah Asta, Esq.</b>            Assistant Attorney General, Arizona Attorney General’s Office</p> <p><b>Robert M. Charles, Jr., Esq.</b>            Lewis Roca Rothgerber LLP</p>	Tucson Salon J
4:45 – 5:15 pm	<p><b>Legislative Update</b></p> <p><b>Speaker:</b> <b>John W. Johnson</b>            Director, Legislative &amp; Governmental Affairs, NASBA</p>	Tucson Salon J
5:15 pm	<b>Recess</b>	

### THURSDAY, MARCH 17, 2016

8:00 – 9:30 am	<p><b>Roll Call (continued from Wednesday)</b>  <i>(Breakfast in room)</i></p>	Tucson Salon J
9:30 – 10:45 am	<p><b>Guidance for Handling PCAOB &amp; SEC Referrals</b></p> <p><b>Speakers:</b> <b>Wade Jewell</b>            Executive Director, Virginia Board of Accountancy</p> <p><b>Rebekah Allen</b>            Enforcement Director, Virginia Board of Accountancy</p>	Tucson Salon J
10:45 – 11:00 am	<b>Break</b>	Tucson Foyer
11:00 am – 12:00 pm	<p><b>FTC Guidance re NC Dental Board Decision: Next Steps</b></p> <p><b>Moderator:</b> <b>Maria L. Caldwell, Esq.</b>            Chief Legal Officer &amp; Director of Compliance Services, NASBA</p>	Tucson Salon J

# AGENDA

12:00 – 1:15 pm

### Recognition Lunch

Tucson Salon D

Presiding:

**Wade Jewell**

Executive Director, Virginia Board of Accountancy

**Kent Absec**

Executive Director, Idaho State Board of Accountancy

1:15 pm

### Adjourn

6:30 pm

### Closing Celebration

*Special Thanks to Prometric*

Tucson Salon D