

# NASBA

## 24th Annual Conference for Board of Accountancy Legal Counsel

San Antonio, TX - March 26-28, 2019



MISSION DRIVEN - MEMBER FOCUSED

### Agenda

#### TUESDAY, MARCH 26, 2019

##### AFTERNOON PLENARY SESSION

<b>1:00 – 2:00 pm</b>	<b>NASBA Orientation for New Attendees</b>	<b>Independence 4</b>
<b>5:00 – 6:00 pm</b>	<b>Registration</b>	<b>Independence Foyer</b>
<b>6:00 – 8:00 pm</b>	<b>Welcome Reception</b>	<b>Independence Lawn</b>

#### WEDNESDAY, MARCH 27, 2019

<b>8:00 – 9:00 am</b>	<b>Breakfast</b> (with Executive Directors & State Society CEOs)	<b>Independence 6</b>
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##### MORNING PLENARY SESSION

<b>9:00 – 9:15 am</b>	<b>Welcome</b> (with Executive Directors & State Society CEOs) <b>Presiding:</b> <b>Richard C. Carroll, Esq., Executive Director</b> Kentucky State Board of Accountancy	<b>Independence 4</b>
<b>9:15 – 10:00 am</b>	<b>NASBA Report</b> (with Executive Directors & State Society CEOs) <b>Moderator:</b> <b>Richard C. Carroll, Esq., Executive Director</b> Kentucky State Board of Accountancy  <b>Speakers:</b> <b>Janice L. Gray, CPA, 2018-2019 Chair</b> NASBA  <b>Ken L. Bishop, President &amp; CEO</b> NASBA	<b>Independence 4</b>

## WEDNESDAY, MARCH 27, 2019 continued

10:00 – 10:30 am	<b>CPE Audit Tracking Tool</b> (with Executive Directors & State Society CEOs) <b>Moderator:</b> <b>Grace Berger, Executive Director</b> Montana Board of Public Accountants  <b>Speakers:</b> <b>Maria Caldwell, Chief Legal Officer &amp; Director, Compliance Services</b> NASBA  <b>Amy Tongate, Associate Director, CPE Services</b> NASBA	Independence 4
10:30 – 10:50 am	<b>Break</b>	Independence Foyer
10:50 – 11:30 am	<b>Legal Cases and Other Developments Impacting the Profession</b> (with Executive Directors & State Society CEOs) <b>Moderator:</b> <b>Richard C. Carroll, Esq., Executive Director</b> Kentucky State Board of Accountancy  <b>Speaker:</b> <b>Noel Allen, Esq.</b> Outside Legal Counsel, NASBA Partner, Allen & Pinnix, P.A.	Independence 4
11:30 am – 12:00 pm	<b>Legislative Updates – Impact on State Boards</b> (with Executive Directors & State Society CEOs) <b>Moderator:</b> <b>Richard C. Carroll, Esq., Executive Director</b> Kentucky State Board of Accountancy  <b>Speakers:</b> <b>Kimberly Scott, President &amp; CEO</b> Washington Society of CPAs  <b>Charles Satterlund, CPA, Executive Director</b> Washington State Board of Accountancy  <b>John W. Johnson, Director, Legislative &amp; Governmental Affairs</b> NASBA	Independence 4
<b>LUNCHEON</b>		
12:00 – 12:30 pm	<b>Recognition</b> (with Executive Directors & State Society CEOs) <b>Presiding:</b> <b>Kent Absec, Executive Director</b> Idaho State Board of Accountancy  <b>Randy Ross, CPA, Executive Director</b> Oklahoma Accountancy Board	Independence 4
12:30 – 1:30 pm	<b>Lunch</b> (with Executive Directors & State Society CEOs)	Courtyard Deck

## WEDNESDAY, MARCH 27, 2019 continued

### AFTERNOON PLENARY SESSION

**1:35 – 2:15 pm**                      **Administrative Law Topic - Due Process**                      **Independence 1**  
**Moderator:** Elizabeth Wolfe, Regulatory Counsel  
NASBA  
**Speaker:** Zoe Niesel, Professor of Law  
St. Mary's University School of Law

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**2:15 – 2:30 pm**                      **Break**                      **Independence Foyer**  
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**2:30 – 3:30 pm**                      **Referrals/Cooperative Enforcement in Action**                      **Independence 1**  
**Moderator:** Elizabeth Wolfe, Regulatory Counsel  
NASBA  
**Speakers:** Boyd Busby, Executive Director  
Alabama State Board of Public Accountancy  
Jennifer Clayton, Senior Manager,  
Professional Ethics  
AICPA-CIMA

**3:30 – 5:00 pm**                      **Roll Call – Part One**                      **Independence 1**  
**Moderators:** Maria Caldwell, Chief Legal Officer  
& Director, Compliance Services  
NASBA  
Elizabeth Wolfe, Regulatory Counsel  
NASBA

**5:00 pm**                      **Recess**

## THURSDAY, MARCH 28, 2019

**8:00 – 9:00 am**                      **Breakfast**                      **Independence 6**  
(with Executive Directors)

### MORNING PLENARY SESSION

**9:00 – 10:30 am**                      **Roll Call – Part Two**                      **Independence 1**  
**Moderators:** Maria Caldwell, Chief Legal Officer  
& Director, Compliance Services  
NASBA  
Elizabeth Wolfe, Regulatory Counsel  
NASBA

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**10:30 – 10:45 am**                      **Break**                      **Independence Foyer**  
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## THURSDAY, MARCH 28, 2019 continued

10:45 – 11:30 am	<b>Testing Accommodations/ADA Compliance</b> (with Executive Directors) <b>Moderator:</b> Kent Absec, Executive Director Idaho State Board of Accountancy  <b>Speakers:</b> Adam Herjeczki, Manager, Testing Accommodations/ADA Compliance NASBA  Robin Stackhouse, Director, Exam Development and Operations AICPA  Elizabeth Wolfe, Regulatory Counsel NASBA	Independence 4
11:30 am – 12:15 pm	<b>Transcript Evaluations</b> (with Executive Directors) <b>Moderator:</b> Charles Satterlund, CPA, Executive Director Washington State Board of Accountancy  <b>Panelists:</b> Kyle Barrier, Supervisor, Candidate Services NASBA  Wade Jewell, President, AEQUO and Director, NIES NASBA  Patricia Hartman, Director, Client Services NASBA	Independence 4
12:15 – 12:45 pm	<b>Cyber Security</b> (with Executive Directors) <b>Moderator:</b> Brenda Turley, Executive Director West Virginia Board of Accountancy  <b>Speaker:</b> Raymond P. Martinez, III, Special Agent FBI San Antonio	Independence 4
<b>LUNCHEON</b>		
12:45 – 1:45 pm	<b>Lunch</b> (with Executive Directors)	Courtyard Deck
<b>AFTERNOON PLENARY SESSION</b>		
2:00 – 3:00 pm	<b>Roll Call – Part Three</b> <b>Moderators:</b> Maria Caldwell, Chief Legal Officer & Director, Compliance Services NASBA  Elizabeth Wolfe, Regulatory Counsel NASBA	Independence 1
3:00 – 3:20 pm	<b>Break</b>	Independence Foyer

## THURSDAY, MARCH 28, 2019 continued

3:20 – 4:20 pm	<b>Conflict of Interest in Administrative Proceedings-Ethics Topic</b> <b>Moderator:</b> Elizabeth Wolfe, Regulatory Counsel NASBA  <b>Speaker:</b> Jennifer Kandt, Executive Director Nevada Funeral and Cemetery Services Board	Independence 1
4:20 – 5:00 pm	<b>Expungement and its Impact on the ALD</b> (Legal Only) <b>Moderator:</b> Elizabeth Wolfe, Regulatory Counsel NASBA  <b>Speakers:</b> Jill Gordon, ALD Manager NASBA  Wendy Garvin, Executive Director Tennessee State Board of Accountancy	Independence 1
5:00 pm	<b>Adjourn</b>	
6:30 pm	<b>Closing Celebration</b>	Luchenbach Pavilion

# Map

