

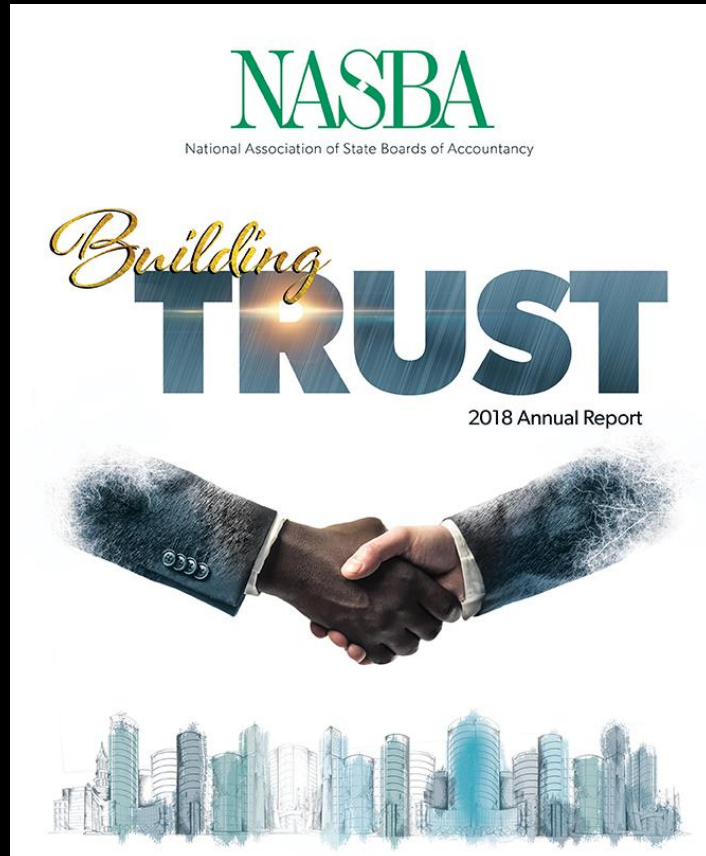


NASBA

111TH ANNUAL MEETING

Report of the Audit Committee:

Maria E. Caldwell, CPA



Audit Committee Report

Maria E. Caldwell, Chair

Audit Committee

MEMBERS

C. Larry Elmore, TN

James Mintert, MO

Michael Schmitz, ND

Randa Vernon, OK

Laurie Warwick, VA



Committee Charge

“ . . . oversee the Association’s annual financial statement audit and internal controls . . . ”

“ . . . recommend to the BOD acceptance of the audited financial statements and the auditor’s report thereon.”

“ . . . recommend to the BOD the firm to perform the audit.”

OVER
SIGHT
SIGHT

Committee Activities

Page 52 of Annual Report



- ✓ Four Meetings
- ✓ Charter
- ✓ Cyber Security Program
- ✓ Financials

Committee Activities

Pre-Audit Planning Meeting

Review of Auditor-provided services

Audit Plan Communication

Cyber-security awareness

Charter review



Form 990 pre-filing review

Audit report acceptance

Engagement of auditors

Self-Assessment

Financial Management

Michael Bryant

Senior Vice President and CFO

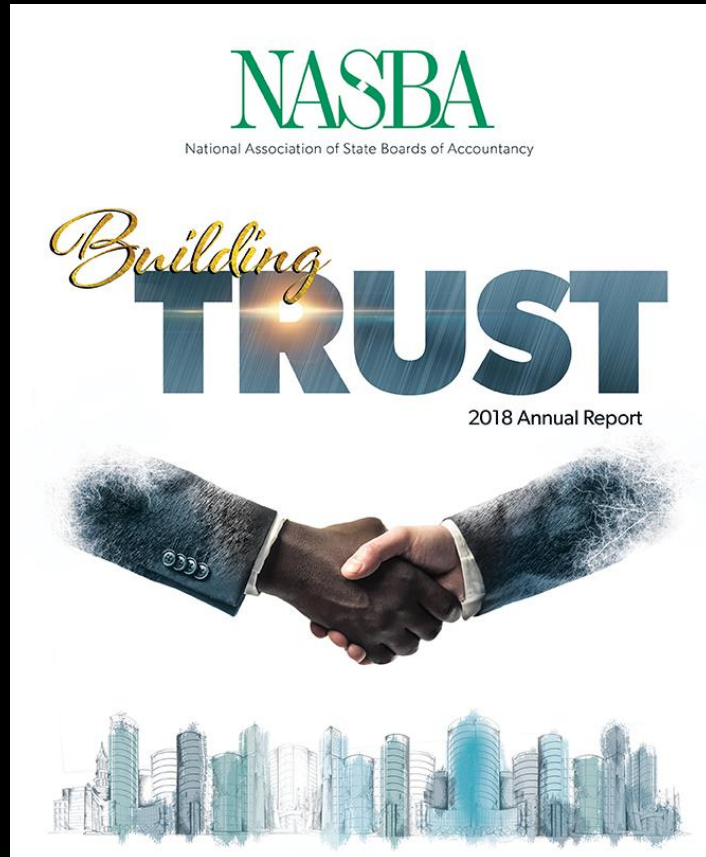
Troy Walker

Director of Finance and Controller

Sandra Davidson

CFO, Center for the Public Trust





Audit Committee Report

Maria E. Caldwell, Chair