

NASBA

29th Annual Conference for Board of Accountancy Legal Counsel



NASHVILLE, TN | MARCH 25 – 27, 2024

MISSION DRIVEN - MEMBER FOCUSED

AGENDA

Monday, March 25, 2024

12:00 – 2:00 pm Registration Broadway Foyer

Opening Plenary Session

2:00 – 2:15 pm **Welcome (with Executive Directors & State Society CEOs)** Broadway 1
Presiding: **D. Boyd Busby, CPA**
Chair, NASBA Executive Directors Committee
Executive Director, Alabama State Board of Public Accountancy

2:15 – 3:00 pm **NASBA Report from Leadership**
(with Executive Directors & State Society CEOs)
Speakers: **Stephanie M. Saunders, CPA**
2023-2024 Chair, NASBA
Ken L. Bishop
President & CEO, NASBA

3:00 – 4:00 pm **Public Company Accounting Oversight Board (PCAOB)**
(with Executive Directors & State Society CEOs)
Speakers: **John Abell, CPA**
Chief Accountant, Division of Enforcement and Investigations, PCAOB
William Ryan, Esq.
Chief Counsel, Division of Enforcement and Investigations, PCAOB

4:00 – 4:30 pm **Legal Case Update (with Executive Directors & State Society CEOs)** Broadway 1
Speaker: **Elizabeth Wolfe, Esq.**
Senior Regulatory Counsel, NASBA

4:30 – 5:15 pm **Legislative Update (with Executive Directors & State Society CEOs)**
Speaker: **John W. Johnson**
Director, Legislative & Governmental Affairs, NASBA

Monday, March 25, 2024 continued

5:15 pm	Recess	
6:30 pm	Welcome Reception (with Executive Directors & State Society CEOs)	Broadway 3

Tuesday, March 26, 2024

7:15 – 8:30 am	Complimentary Headshots	Broadway Foyer
7:30 – 8:30 am	Breakfast	Broadway 3

Plenary Session

8:30 – 9:45 am	Legal Session 1: Roll Call Kickoff Moderators: Elizabeth Wolfe, Esq. Senior Regulatory Counsel, NASBA Maria Caldwell, Esq. Chief Legal Officer and Director, Compliance Services, NASBA	
9:45 – 10:30 am	Legal Session 2: Roll Call Continued Moderators: Elizabeth Wolfe, Esq. Senior Regulatory Counsel, NASBA Maria Caldwell, Esq. Chief Legal Officer and Director, Compliance Services, NASBA	
10:30 – 10:45 am	Break (Coffee Available in Broadway Foyer)	
10:45 – 11:45 am	Legal Session 3: Artificial Intelligence – Introduction, Implications and Benefits Speaker: A.J. Bahou, Esq., LL.M., MsECE Partner, Bradley Artificial Intelligence (AI) Practice Leader	Sobro
11:45 am – 12:30 pm	Legal Session 4: Department of Labor (DOL) Speakers: Marcus Aron, CPA Chief, Division of Accounting Services Employee Benefits Security Administration Michael Auerbach, CPA Chief Accountant, Employee Benefits Security Administration	
12:30 – 1:30 pm	Lunch (with Executive Directors & State Society CEOs)	Broadway 3

Tuesday, March 26, 2024 continued

Afternoon Plenary Session

1:45 – 2:45 pm	NASBA Office Tour (Location TBD – meeting point will be in session room once that is determined) Moderators: Elizabeth Wolfe, Esq. Senior Regulatory Counsel, NASBA Maria Caldwell, Esq. Chief Legal Officer and Director, Compliance Services, NASBA	NASBA Offices
3:00 – 3:45 pm	Legal Session 5: Judicial Deference/Chevron History and Update Rulemaking Process Speaker: Professor Amy Moore Belmont University College of Law	Sobro
3:45 – 4:00 pm	Break (Coffee Available in Broadway Foyer)	
4:00 – 5:00 pm	Legal Session 6: Securities and Exchange Commission (SEC): Enforcement Update Speaker: Ryan Wolfe, CPA Chief Accountant, Division of Enforcement Securities and Exchange Commission	
5:00 pm	Recess Free Night	

Wednesday, March 27, 2024

7:45 – 9:00 am	Complimentary Headshots	Broadway Foyer
8:00 – 9:00 am	Breakfast	Broadway 3

Morning Plenary Session

9:00 – 9:45 am	Legal Session 7: Federal Agency Defense Governmental Investigations & Accounting Defense Q&A Speakers: Ron Hauben, Esq. Partner, McDermott Will & Emery LLP Allyson Riemma, Esq. Partner, McDermott Will & Emery LLP	Sobro
9:45 – 10:30 am	Legal Session 8: Roll Call (Legal Breakout Session) Moderators: Elizabeth Wolfe, Esq. Senior Regulatory Counsel, NASBA Maria Caldwell, Esq. Chief Legal Officer and Director, Compliance Services, NASBA	Sobro

Wednesday, March 27, 2024 continued

10:30 – 11:15 am	Legal Session 9: Rulemaking Process Speaker: Laura Martin, Esq. Chief Counsel, TN Dept of Commerce and Insurance Regulatory Boards Division (former Legislative Director)	
11:15 am – 12:30 pm	Legal Session 10: Open Records Speaker: Toni Chadwick, Esq. Open Records Counsel Tennessee Comptroller's Office of Open Records Counsel	Sobro
12:30 – 2:00 pm	Lunch	Broadway 3
Afternoon Plenary Session		
2:00 – 3:00 pm	Legal Session 11: Roll Call Moderators: Elizabeth Wolfe, Esq. Senior Regulatory Counsel, NASBA Maria Caldwell, Esq. Chief Legal Officer and Director, Compliance Services, NASBA	Sobro
3:00 – 3:15 pm	Conference Recap, Questions, Announcements, Etc.	
3:15 pm	Adjourn	
6:30 pm	Nashville Songwriter Night & Honky-Tonk Closing Celebration	Broadway 1