

# NASBA

National Association of State Boards of Accountancy

## 2014 AWARDS PROGRAM





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# DAVID A. VAUDT, CPA

## William H. Van Rensselaer Public Service Award

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David A. Vaudt was named chairman of the Governmental Accounting Standards Board (GASB) effective July 1, 2013. David joined the GASB after serving 10 years as Iowa's elected state auditor. In addition to auditing the state of Iowa, he was responsible for annually auditing more than 200 Iowa counties, cities, school districts, and other governmental subdivisions and providing guidelines to CPA firms performing local governmental audits. His distinguished service in this position earned him the "Iowans for Tax Relief Watchdog Award" in 2009.

Prior to his election, David worked for 25 years in the Des Moines, Iowa office of KPMG LLP, including 13 years as an audit partner. In 2001, he retired from the firm to pursue public office.

David served as chairman of the Iowa Accountancy Examining Board from 1995-2002, resigning in 2002 after his election to auditor of state. He also served 10 years on the board of directors of the National Association of State Boards of Accountancy (NASBA) and completed his year as chair of NASBA in October of 2004. During his tenure with NASBA, David chaired the Examination Committee, Past Chair Advisory Council, Nominating Committee, Public Perception Committee and Regulatory Structures Committee. He was also a member of NASBA's Relations with Member Boards Committee. Currently, he is a member of the Past Chair Advisory Council.

From 2005-2013, David served on the CPA Examination Review Board (ERB), most recently as vice chair. Additionally, he is a former president of the National State Auditors Association and he has served on the boards of numerous nonprofit service organizations in Iowa. In 2004 and 2013, David was named to Accounting Today's annual list of the "100 Most Influential People in Accounting."

A graduate of Upper Iowa University, David is a certified public accountant. His seven-year term as chairman of the GASB concludes in 2020.



**DAVID A. VAUDT, CPA**

# **RICHARD ISSERMAN, CPA**

## NASBA Distinguished Service Award

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Richard (Rick) Isserman, CPA, is a retired partner of KPMG LLP. In his role as partner, Rick was responsible for leading the New York office's audit real estate department. He was also a member of the firm's SEC Reviewing Partners Committee responsible for preissuance reviews of filings with the SEC and other public agencies.

Rick is a former member of the AICPA Council and a former member of the AICPA's Board of Examiners. He also chaired the AICPA's Content Oversight Task Force that developed the content specifications for the first electronically delivered CPA Examination. He is a former chair of the New York State Board for Public Accountancy, and currently serves as a director and chair of the Audit Committee of Movado Group, Inc.

Over the past 12 years, Rick has held numerous leadership roles as a member of NASBA. From 2004-2007 and 2008-2014, he has served as a Director-at-Large. He is also a former chair of NASBA's Regulatory Response Committee - a position he held from November 2004 through October 2013. Rick is regarded for elevating the Regulatory Response Committee to become a "think tank" for Boards of Accountancy, leading discussions and polishing drafts that represented the considered opinions of Board members.

Additionally, Rick is a former member of the Relations with Member Boards Committee and Litigation Response and Assistance Committee. Currently, Rick is the chair of NASBA's Audit Committee.

A graduate of New York University's Stern School of Business, Rick has taught as an adjunct professor at several colleges and universities.

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**RICHARD ISSERMAN, CPA**

# **VIKI A. WINDFELDT**

## **Lorraine P. Sachs Standard of Excellence Award**

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Viki A. Windfeldt was hired by the Nevada State Board of Accountancy (NSBA) as assistant director in 1995. Prior to being hired by the NSBA, she served as the board coordinator of the Nevada State Board of Pharmacy for four years. In this role, Viki was responsible for numerous tasks associated with the Board including regulatory and statutory language writing, board meeting coordination, renewals, licensing and enforcement. Viki brought her previous experience to improve the efficiency and operation of the NSBA. After establishing herself with the Board of Accountancy through her work, she was promoted to executive director in 2003.

During her 19 years with the Board, Viki has been successful in building solid working relationships with the Nevada Society of CPAs, CPA stakeholders, state legislature and individuals on a national level. Under her leadership, the Nevada Board has successfully: streamlined processes within the office environment; continued operation with a small staff; reduced overall office expenses; instituted website and computer software development; launched online access and reporting of all programs such as license renewals, CPE audit submission, peer review reporting, examination application, reporting of exam scores and scanning for a paperless office.

Viki is always looking for ways in which she can improve upon the level of service and communication provided to the Board, the Society, state government, other board agencies as well as the licensees and the public.

In addition to accomplishing the Board's work, Viki has also demonstrated her leadership ability at the national level through numerous contributions of time, knowledge, effort and efficiencies with NASBA and the AICPA. This has been keenly demonstrated through her involvement as a former board member and executive member of the AICPA Board of Examiners (2009-2012), former executive director liaison to the NASBA Board of Directors (2007-2008), former chair and committee member of NASBA's Executive Directors Committee (2004-2009) and former member of NASBA's Enforcement Resources, Relations with Member Boards and Communications Committees. Presently, she serves on NASBA's Accountancy Licensee Database/CPAverify Committee.

Viki is also involved in various non-profit organizations within her community which include past chair of the Chamber of Commerce Leadership Youth Committee, Chamber Advisory Committee board member, and current treasurer of the Aaron E. Arnoldsen Memorial Scholarship Fund (since 1997). She also helps with the school PTA and enjoys spending time with her family.

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**VIKI A. WINDFELDT**

# PREVIOUS AWARD WINNERS

## WILLIAM H. VAN RENSSELAER PUBLIC SERVICE AWARD

Since 1988, candidates for this award are individuals who have earned recognition through their contributions to the public accounting profession. Prior candidates have led the development or improvement of programs for Boards of Accountancy, or influenced passage of rules or statutes to improve accountancy regulations and laws, with the goal of protecting the public.

2013 Diane M. Rubin	2006 Ronnie Rudd	1999 Jerome P. Soloman	1992 Louis W. Dooner
2012 Michael D. Weatherwax	2005 Susan J. Reinardy	1998 C. Hunter Jones	1991 John A. Baker
2011 Sandra A. Suran	2004 John M. Greene	1997 Jerome A. Schine	1990 A. Leighton Platt
2010 K. Michael Conaway	2003 Welling W. Fruehauf	1996 Richard J. Goode	1990 Louis W. Matusiak
2009 Barton W. Baldwin	2002 Noel P. Kirch	1995 Wilbert H. Schwotzer	1989 Robert C. Ellyson
2008 John B. Peace	2001 Nathan T. Garrett	1994 Lorin H. Wilson	
2007 Milton Brown	2000 Thomas Iino	1993 Douglas Sprague	

## NASBA DISTINGUISHED SERVICE AWARD

Since 1999, recipients of this award are individuals who have demonstrated unwavering commitment and dedication to enhancing the mission of the National Association of State Boards of Accountancy.

2013 Andrew L. DuBoff	2009 Ellis M. Dunkum	2005 Asa L. Hord	2001 Will J. Pugh
2012 Charles W. Taylor	2008 Harold D. Hein	2004 Gerald W. Burns	2000 Bernard Blum
2011 Philip W. Gleason	2007 Charles H. Calhoun	2003 Janice B. Wilson-Marcum	1999 Leon K. Poché
2010 Sheila M. Birch	2006 Jimmie Lee Mason	2002 Harris W. Widmer	

## LORRAINE P. SACHS STANDARD OF EXCELLENCE AWARD

Since 2008, recipients of this award are state board executive staff leaders who show excellence in regulating and make a positive impact on the accounting profession.

2013 Richard C. Sweeney	2011 Barbara R. Porter	2009 William Treacy
2012 Robert N. Brooks	2010 Daniel J. Dustin	2008 Carol Sigmann

# 2013-2014 AWARDS COMMITTEE

Chair

Mark P. Harris (LA)

Members

Robert B. Cagnassola (NJ)

Bobby Creech (SC)

Michael T. Daggett (AZ)

Sandra A. Suran (OR)

Richard Sweeney (WA)

Staff Liaison

Cassandra Gray

# NASBA

MISSION DRIVEN - MEMBER FOCUSED