



# NASBA

National Association of State Boards of Accountancy

## 2013 AWARDS PROGRAM



# NASBA

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# DIANE M. RUBIN, CPA

## William H. Van Rensselaer Public Service Award

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Diane M. Rubin, CPA, is a retired partner with the firm of Novogradac & Company LLP, a national public accounting firm headquartered in San Francisco, California, with offices throughout the United States. She began her career at Price Waterhouse in New York after obtaining an MBA in Accounting from the University of Washington.

In 1993, Diane was appointed to the California State Board of Accountancy, where she served as president of the Board and chair of several committees including the Committee on Professional Conduct and the UAA Task Force.

From 1998 to 2007, Diane was a member of the Board of Directors of the National Association of State Boards of Accountancy (NASBA), serving as pacific regional director, director-at-large, vice chair and chair in 2005 – 2006. In her role as NASBA chair, she worked diligently to strengthen the bonds among Boards of Accountancy and to increase public awareness of the role boards play in protecting the public. Further, in an effort to gain greater influence for Boards of Accountancy in regulatory matters, Diane urged NASBA and state boards to utilize communication, regulation and enforcement as key drivers in fulfilling their mission of ensuring public protection.

In addition to her years on the NASBA Board, she also chaired a number of committees including the Strategic Initiatives Committee and Examinations Committee during the period when the Uniform CPA Examination transitioned from paper and pencil to a computerized exam.

From 2003 to 2007, Diane served as a member of the Auditing Standards Board and its Audit Issues Task Force. In 2006, she was named one of the Top 100 Most Influential People by *Accounting Today*. Additionally, *NPA Magazine* named her as one of the 2006 Top 100 Most Influential Practitioners.

In 2012, the Board of Trustees of the Financial Accounting Foundation (FAF) appointed Diane to the Private Company Council (PCC). In addition, she currently serves on the joint NASBA/AICPA International Qualifications Appraisal Board.

Active in her community, Diane was appointed in 1990 by the Marin County Board of Supervisors to the Marin County Parks, Open Space and Cultural Services Commission. She served as chair of the Commission for two years and continued to serve on the Commission and its County Fair Committee and Cultural Services Committee until completion of her fourth term in 2000.

Currently, Diane is a member of the Board of Trustees of the San Francisco Conservatory of Music and is a director of the San Francisco Opera Guild. She has also served on numerous other community boards including the Board of Trustees of Mount Tamalpais School and the Boards of the Junior League of San Francisco and Florence Crittenton Services. In recognition of her "significant contributions to the character and vitality of our City," then Mayor Gavin Newsom declared November 16, 2010, as Diane Rubin Day in San Francisco.

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**DIANE M. RUBIN, CPA**

# ANDREW L. DUBOFF, CPA

## NASBA Distinguished Service Award

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Andrew L. (Andy) DuBoff, CPA is a retired partner of PricewaterhouseCoopers LLP, with over 35 years of experience in tax and audit practice. As partner, he served a wide variety of clients, ranging from large, multi-national corporations, to individual tax clients. He was also responsible for managing the firm's tax practices in the Carolinas and in New Jersey.

Subsequently, Andy spent seven years involved in federal and state regulatory and liability reform projects on behalf of the accountancy profession in Washington, D.C., and various states. During that period, he became very familiar with the mission and activities of the National Association of State Boards of Accountancy (NASBA) through attendance at both Regional and Annual Meetings.

Upon being appointed to the New Jersey State Board of Accountancy in September 1999, he immediately began what has now evolved to more than 14 years of continuous service to NASBA.

In 2002, Andy joined the NASBA Board of Directors with knowledge of many of the Boards of Accountancy through his work with the Accountants Coalition and thus was an effective director-at-large. He served in a variety of roles while on the Board including treasurer, member of the Executive Committee and director-at-large from 2002-2008.

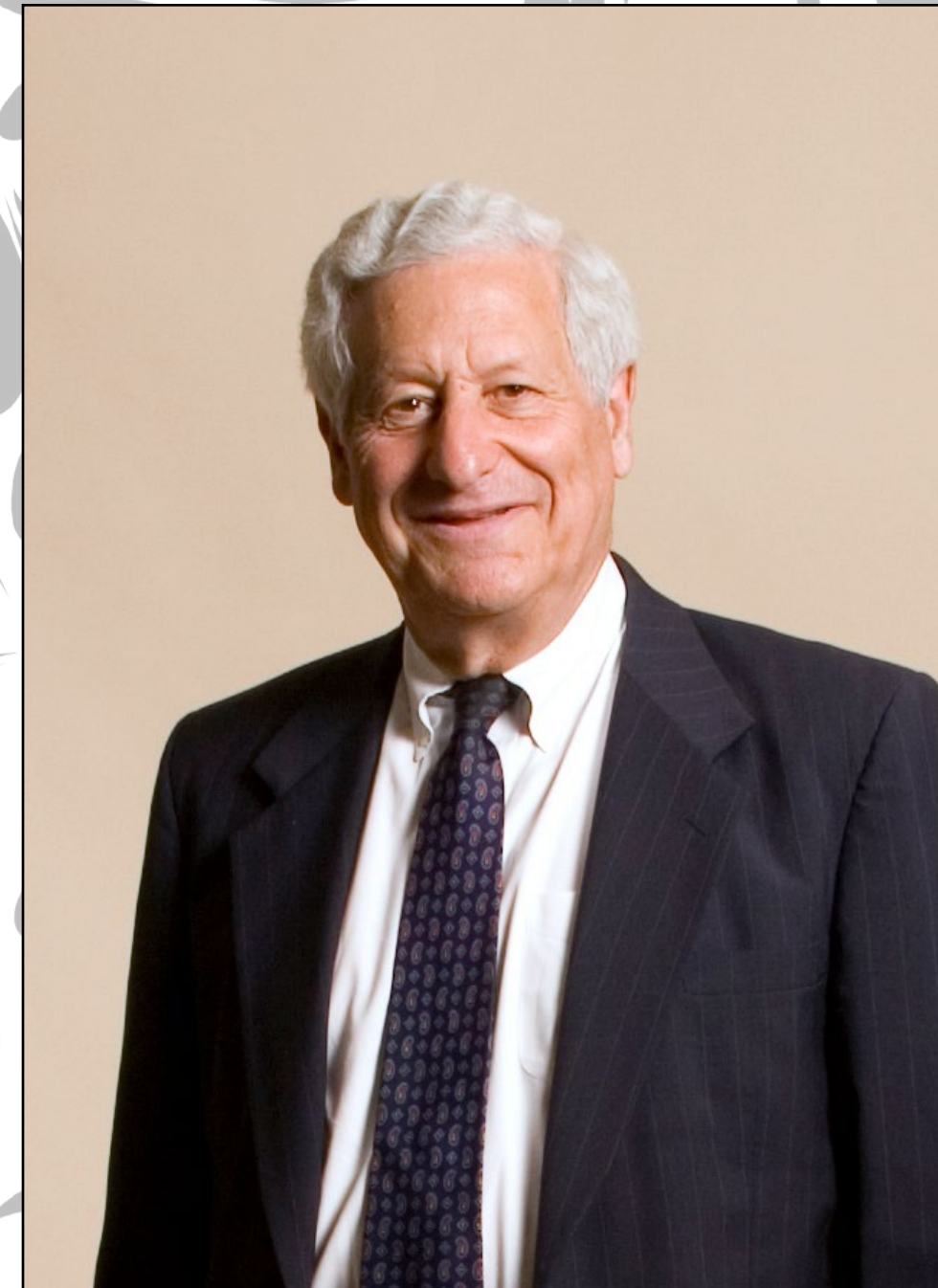
As an active member of the NASBA Board, Andy always carefully examined the details and impact of proposals brought before the group. For many years, he was regarded as a guiding light through the tangle of the Uniform Accountancy Act negotiations as he could always be counted on to look at the big picture as well as the punctuation of each suggested change. Andy was also instrumental in keeping the New Jersey Board involved in NASBA activities during his two years as president, and also following his term on the New Jersey Board.

Andy is a current member of NASBA's Nominating Committee and Uniform Accountancy Act Committee, where he chairs the Committee's Firm Mobility Task Force. He previously served on NASBA's Administration & Finance, Education, Ethics, Ethics & Strategic Issues, Nominating and Selection Advisory Committees. Additionally, he is a former chair of the joint NASBA/AICPA UAA Committee Task Force on CPA Firm Names and was also a member of the joint CPA Firm Name Study Group that produced the 2009 White Paper on CPA Firm Names.

A graduate of Dartmouth College and Rutgers University, Andy has dedicated over 10 years to the New Jersey Board and more than 30 years of service to the New Jersey Society of CPAs, including a year as Society president. He also served seven years as a member of the AICPA Council. He is currently a non-Board member of the Peer Review Oversight Committee of the New Jersey State Board of Accountancy.

Andy is married and is the proud father of three children and grandfather to three beautiful granddaughters. As a retired partner, he dedicates his time as a featured speaker and panelist at various professional, business, charitable and academic functions.

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**ANDREW L. DUBOFF, CPA**

# RICHARD C. SWEENEY, CPA

## Lorraine P. Sachs Standard of Excellence Award

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Richard C. (Rick) Sweeney was appointed as executive director of the Washington State Board of Accountancy in 2005. Prior to this appointment, he served as special assistant to the elected Washington State Auditor. In this role, he was responsible for oversight of audit quality, audit staff development and regulatory affairs. Rick also maintained a private consulting business where he specialized in providing litigation support for attorneys in CPA malpractice defense cases, consulting services to CPA firms and training programs to organizations throughout the United States.

Rick entered the executive director role with a desire to improve the efficiency and operation of the Washington Board. Among his initial tasks as executive director were quickly establishing a clear mission statement for the Board and cultivating a solid, working relationship with the Washington Society of CPAs, the state legislature and other stakeholders.

During his tenure as executive director, Rick has been successful in building the Washington Board into a model agency. Under his leadership, the Washington Board successfully: commissioned an independent study on the efficacy and efficiency of consolidation of the Washington Board into another state agency in Washington—a study that has become a cornerstone in the fight against Board of Accountancy consolidation; launched an award-winning website; instituted a new online registration and fee payment system and developed efficient record retention policies that meet the requirements for transparent operation of a state agency.

With each of these milestones, Rick has consistently shared the results of his hard work with NASBA and other Boards of Accountancy in an effort to equip all boards with the tools necessary to effectively work toward their mission of public protection.

Rick's leadership has also been keenly demonstrated within NASBA. His involvement has spanned from supporting ALD implementation and mobility to serving as a key developer of the NASBA white paper on the semi-independent board.

From 2010 – 2011, Rick chaired NASBA's Executive Directors' Committee, serving as executive directors' liaison to the NASBA Board of Directors. He is a former member of NASBA's Legislative Support Committee, State Board Relevance and Effectiveness Committee and Accountancy Licensee Database (ALD) Committee. Currently, Rick is a member of NASBA's Board Effectiveness and Legislative Support Committee.

In 2000, Rick received recognition by the Washington Society of CPAs as the recipient of the Vincent A. Gervais Award for Public Service and the John L. O'Brien Lifetime Achievement Award in 2007 for sincere and extraordinary passion, dedication, advocacy and service to the profession and its members.

A member of the American Institute of Certified Public Accountants (AICPA), he currently serves on the Accounting and Business Curriculum Advisory Board at Saint Martin's University located in Lacey, Washington, and is frequently requested to speak to high school and college students about his career.

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**RICHARD C. SWEENEY, CPA**

# PREVIOUS AWARD WINNERS

## WILLIAM H. VAN RENSSELAER PUBLIC SERVICE AWARD

Since 1988, candidates for this award are individuals who have earned recognition through their contributions to the public accounting profession. Prior candidates have led the development or improvement of programs for Boards of Accountancy, or influenced passage of rules or statutes to improve accountancy regulations and laws, with the goal of protecting the public.

2012 Michael D. Weatherwax	2005 Susan J. Reinardy	1998 C. Hunter Jones	1991 John A. Baker
2011 Sandra A. Suran	2004 John M. Greene	1997 Jerome A. Schine	1990 A. Leighton Platt
2010 K. Michael Conaway	2003 Welling W. Fruehauf	1996 Richard J. Goode	1990 Louis W. Matusiak
2009 Barton W. Baldwin	2002 Noel P. Kirch	1995 Wilbert H. Schwotzer	1989 Robert C. Ellyson
2008 John B. Peace	2001 Nathan T. Garrett	1994 Lorin H. Wilson	
2007 Milton Brown	2000 Thomas Iino	1993 Douglas Sprague	
2006 Ronnie Rudd	1999 Jerome P. Soloman	1992 Louis W. Dooner	

## NASBA DISTINGUISHED SERVICE AWARD

Since 1999, recipients of this award are individuals who have demonstrated unwavering commitment and dedication to enhancing the mission of the National Association of State Boards of Accountancy.

2012 Charles W. Taylor	2008 Harold D. Hein	2004 Gerald W. Burns	2000 Bernard Blum
2011 Philip W. Gleason	2007 Charles H. Calhoun	2003 Janice B. Wilson-Marcum	1999 Leon K. Poché
2010 Sheila M. Birch	2006 Jimmie Lee Mason	2002 Harris W. Widmer	
2009 Ellis M. Dunkum	2005 Asa L. Hord	2001 Will J. Pugh	

## LORRAINE P. SACHS STANDARD OF EXCELLENCE AWARD

Since 2008, recipients of this award are state board executive staff leaders who show excellence in regulating and make a positive impact on the accounting profession.

2012 Robert N. Brooks	2011 Barbara R. Porter	2010 Daniel J. Dustin	2009 William Treacy	2008 Carol Sigmann
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## 2012-2013 AWARDS COMMITTEE

Chair  
Michael T. Daggett (AZ)

Members  
Robert N. Brooks (NC)  
Philip W. Gleason (MN)  
Marianne Mickelson (IA)  
Leonard R. Sanchez (NM)  
Sandra A. Suran (OR)

Staff Liaison  
Cassandra Gray

