

EXCEPTION TO POLICY

INSTRUCTIONS

The following instructions are for candidates who have registered to take the Uniform CPA Examination as a candidate in any of the following jurisdictions:

Alaska Colorado Connecticut Delaware Florida Georgia Hawaii Indiana Iowa Kansas Maine Massachusetts Michigan Minnesota Missouri Montana Nebraska New Hampshire New Jersey New Mexico New York Ohio Pennsylvania Puerto Rico Rhode Island South Carolina Tennessee Utah Vermont Washington Wisconsin

and are requesting a partial refund of exam fees not used or an extension of their valid Notice to Schedule (NTS) due to a documented hardship. **Do not submit this form to request exam credit extensions.**

NOTE: If you are scheduled to take the examination, you are required to cancel the scheduled appointment and pay all appropriate fees prior to submission of this form. **If you do not cancel your exam, your request will be denied and you will be required to resubmit all documentation along with your exam cancellation confirmation.**

NASBA POLICY

There is no provision for withdrawing from the examination and/or requesting an extension of your current Notices to Schedule (NTS). Application and/or examination fees are NOT refundable. If your NTS expires prior to your taking the examination section, or you fail to attend your scheduled testing appointment, you will not be able to reschedule or receive a refund on any of the fees you have paid and you will have to reapply for the examination and pay the appropriate application/registration and examination fees.

EXCEPTION TO NASBA POLICY

Candidates with extreme circumstances may request an NTS extension or a refund of fees not used, below is a sample list of circumstances which would be considered hardships;

- VISA rejections - Copy of the official rejection letter must be submitted with this Form.
- Military Deployment - Copy of the official military orders must be submitted with this Form.
- Medical emergency –Copy of the doctor statement listing, date(s) of care and restriction(s) must be submitted with this Form. (candidate or candidate's immediate family)
- Death - Copy of the death certificate or obituary (name shown) must be submitted with this Form.

EXCEPTION TO POLICY RULES AND PROCESS

The Exception to Policy rules are as follows:

- The Exception to Policy Form and supporting documentation must be received no later than thirty (30) days from the date of the extreme circumstance.
- Refunds - Candidate will receive a partial refund via check for fees not used at time of submission.
- NTS Extension - Candidate will receive an extension of their NTS only for the documented time that was lost and only up to one testing window worth of testing time (90 days.)
- A candidate can request one (1) NTS Extension OR a partial refund for a Notice to Schedule using the same hardship.
- A candidate cannot have multiple Exception to Policy requests for any one Notice to Schedule, regardless of sections.
- **Do not submit this form to request exam credit extensions.**
(You must contact your board of accountancy directly to request exam credit extensions)

Allow seven (7) business days from submission of the Exception to Policy Form and supporting documentation for processing. Upon review, the candidate will be notified via email of Refund Request Committee decision regarding their request.

CONTACT INFORMATION

Candidates can submit the Exception to Policy Form with supporting documentation via mail, email, or fax. Mailing Address: CPA Examination Services, Exception to Policy Committee, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219

Phone: Toll-free: 1-800-CPA-EXAM; 615-880-4250; Hours: Monday - Friday, 8:00 - 4:30pm, Central

Fax: (615)312-3792

Web: www.nasba.org

Email: cpaesrefund@nasba.org



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The Exception to Policy Form and documentation must be received no later than thirty (30) days from the date of the extreme circumstance. Failure to submit the Exception to Policy Form and supporting documentation will deem request incomplete and will result in automatic denial of request. Candidates are advised that only one(1) Exception to Policy request can be submitted per Notice to Schedule. (NTS)

This form can be submitted to our office via mail, fax or email. M: 150 Fourth Ave. N, Suite 700, Nashville, TN 37219 or F: 615.312.3792 or E:cpaesrefund@nasba.org, or T:1-800-CPA-EXAM or 615-880-4250.

PLEASE COMPLETE THIS FORM CLEARLY & LEGIBLY

- Jurisdiction: _____ 2. Jurisdiction ID #: _____
- Name: _____
FIRST MIDDLE LAST
- Mailing Address: _____
- Mailing Address: _____
CITY STATE AIP CODE/POSTAL CODE COUNTRY
- Email: _____
- Candidate/Board is requesting: Partial refund of examination fees ___ or NTS Extension ___
Partial refunds will be issued via CHECK and sent to the mailing address you list above within 15 days of being approved.
- Candidate hardship: Death ___ Medical ___ Military ___ VISA rejection ___
Do not submit this form if you are requesting an extension of exam credit, please contact your Accountancy Board.
- Application or Registration date: _____ Section(s): AUD ___ BEC ___ FAR ___ REG ___
- Current NTS Expiration Date: ____/____/____
- Are you currently scheduled with Prometric to take this examination section(s)? Yes ___ No ___
If Yes, you are required to cancel your appointment prior to submission of this form.
- Did you miss a scheduled appointment with Prometric because of your circumstances? Yes ___ No ___
If Yes, do not complete/submit this form, you must email CandidateCare@nasba.org

Candidate/Board Signature

Date

OFFICE USE ONLY

Date email sent to candidate: _____	FT or RE _____	Sections: A B F R _____	Request Approved: Yes No
Refund Amount: \$ _____	New Expiration Date: _____		
CPAES \$ _____	AUD Status: _____	CES# _____	
AICPA \$ _____	BEC Status: _____	CES# _____	
PROMETRIC \$ _____	FAR Status: _____	CES# _____	
OTHER \$ _____	REG Status: _____	CES# _____	
Total Refund \$ _____			
Refund Mgr Sign: _____	NTS Mgr Sign: _____		
Date Processed: _____	Date Submitted: _____		